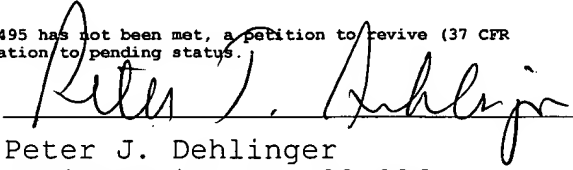


TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371		Attorney Docket Number 7636-0013.10
International Application No. PCT/US98/07232		International Filing Date April 10, 1998
Title of Invention COMPOSITION AND METHOD FOR INDUCING AN IMMUNE RESPONSE AGAINST TUMOR-RELATED ANTIGENS		U.S. Application No. (if known, see 37 CFR §1.5) Not yet Assigned 09/402845 Priority Date Claimed April 11, 1997
Applicant(s) for DO/EO/US Reiner Laus, Curtis L. Ruegg, Michael L. Shapero, and Demao Yang		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information		
1. <input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.	
2. <input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.	
3. <input type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).	
4. <input checked="" type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.	
5. <input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. §371(c)(2))	
a. <input type="checkbox"/>	is transmitted herewith (required only if not transmitted by the International Bureau).	
b. <input type="checkbox"/>	has been transmitted by the International Bureau.	
c. <input checked="" type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US).	
6. <input type="checkbox"/>	A translation of the International Application into English (35 U.S.C. §371(c)(2)).	
7. <input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))	
a. <input type="checkbox"/>	are transmitted herewith (required only if not transmitted by the International Bureau).	
b. <input type="checkbox"/>	have been transmitted by the International Bureau.	
c. <input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.	
d. <input checked="" type="checkbox"/>	have not been made and will not be made.	
8. <input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).	
9. <input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) (Unsigned).	
10. <input type="checkbox"/>	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).	
Items 11 to 16. below concern document(s) or information included:		
11. <input type="checkbox"/>	An Information Disclosure Statement under 37 CFR §1.97 and §1.98.	
12. <input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.	
13. <input checked="" type="checkbox"/>	A FIRST preliminary amendment.	
14. <input type="checkbox"/>	A SECOND or SUBSTITUTE preliminary amendment.	
15. <input type="checkbox"/>	A substitute specification.	
16. <input checked="" type="checkbox"/>	Other items or information: The due date for this filing is Monday, October 11, 1999, which falling on a federal holiday, extends the period for filing to Tuesday, October 12, 1999, under PCT Rule 80.5.	

U.S. Application No. (if known, see 37 CFR §1.5) 09/402845 Not yet assigned		International Application No. PCT/US98/07232		Attorney's Docket No. 7636-0013.10	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR §1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International search Report not prepared by the EPO or JPO \$ 970.00 International preliminary examination fee (37 CFR 1.482) not paid to USP TO but international Search Report prepared by the EPO or Jpo \$ 840.00 International preliminary examination fee (37 CFR §1.482) not paid to USPTO but international search fee (37 CFR §1.445(a)(2)) paid to USPTO \$ 760.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claim priority date (37 CFR §1.492(e)).				<div style="text-align: right;">130.00</div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	23 - 20 =	3	3 × \$ 18.00	\$54.00	
Independent Claims	5 - 3 =	2	2 × \$ 78.00	\$156.00	
Multiple Dependent Claim(s) (if applicable)			+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1180.00	
Reduction of ¼ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR §1.9, §1.27, §1.28)					
SUBTOTAL =				\$1180.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR §1.942(f)).				\$	
Fee for recording the enclosed assignment (37 CFR §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §3.28, §3.31). \$40.00 per property.				\$	
TOTAL FEES ENCLOSED =				\$1180.00	
				Amount to be:	
				refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1180.00</u> to cover the filing fees is enclosed. b. <input type="checkbox"/> Please charge Deposit Account No. <u>04-0531</u> in the amount of \$ to cover the above fees. This sheet is provided in triplicate. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0531</u> .					
NOTE: Where an appropriate time limit under 37 CFR §1.494 or §1.495 has not been met, a petition to revive (37 CFR §1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> Dehlinger & Associates P.O. Box 60850 Palo Alto, CA 94306 </div> <div style="width: 45%; text-align: right;">  Peter J. Dehlinger Registration No. 28,006 </div> </div> <div style="text-align: right; margin-top: 20px;"> <u>10-12-99</u> Date </div>					

DOCKET NO. 7636-0013.10

09/402845
420 Rec'd PCT/PTO 12 OCT 1999

IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE
(EO/US)

IN RE APPLICATION OF: Laus, et al.

SERIAL NO.: Not yet Assigned

PCT FILING DATE: 10 April 1998

PRIORITY DATE: 11 April 1997

Title: Composition and Method for Inducing an Immune Response
Against Tumor-Related Antigens

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box: PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: DO/EO/US


Sir:

Express Mail Label No: EL 530 367 945 US
Date of Deposit: 12 October 1999

I hereby certify that the attached filing: application transmittal under 35 USC § 371, an oath or declaration of the inventors (unsigned) under 35 U.S.C § 371, and an attached check is being deposited with the United States Postal Service "Express Mail Post office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, which is the extended date from Monday, October 11, 1999, which falls on a federal holiday, as provided for under PCT Rule 80.5, and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US.

Respectfully submitted,

10/12/99
Date of Signature


Name: ARLENE DEHLENGER

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